

CHECKLIST PARTICIPATION TERMINATION

Procedure for termination of participation in the Securities Market as a:		DCM	GCM	NCM	CA	RC
1.	Written notification of CCPA (§ 18 para. 1 GTC/§ 4 para. 1 Clearing Agent Agreement)	x	x		x	
2.	Notification of clearing clients (§ 18 para. 3 GTC)		x			
3.	Notification of GCM (§ 18 para. 4 GTC)			x		x
4.	One-month notice period ¹ (§ 4 para. 1 Clearing Agent Agreement)				x	
5.	Notification of agent clients (§ 4 para. 1 Clearing Agent Agreement)				x	
6.	Submission of signature directory	x	x	x	x	x
7.	Suspension in the clearing system after expiry of the notice period	x	x	x	x	x
a)	Assessment of the termination date	x	x	x		x
8.	Repayment of contributions to the default fund (§ 18 para. 8 and § 53 para. 2 GTC)	x	x	x*	x	x*
a)	Fulfillment of all open transactions (§ 24 para. 1 GTC)	x	x	x*	x	x*
b)	Repayment of contributions to the default fund	x	x	x*	x	x*
9.	Securities settlement/securities collateral account					
a)	Fulfillment of all open transactions (§ 24 para. 1 GTC)	x	x	x*	x	x*
b)	Closure by OeKB CSD ²	x	x	x*	x	x*
c)	Approval by CCPA	x	x	x*	x	x*
10.	Cash collateral account					
a)	Fulfillment of all open transactions	x	x	x*	x	x*
b)	Closure by OeKB ²	x	x	x*	x	x*
c)	Approval by CCPA (§ 47 para. 4 GTC)	x	x	x*	x	x*
11.	Cash settlement account (fees)					
a)	Settlement of all open invoices (§ 27 para. 5 and § 55 GTC)	x	x	x*	x	x*
b)	Closure by OeKB ²	x	x	x*	x	x*
c)	Approval by CCPA	x	x	x*	x	x*

x* To be set up by the clearing member.

¹ With an exception regarding the clearing agent, CCPA does not have any notice periods. However, the notice periods of Wiener Börse AG must be adhered to.

² Order is to be submitted by the owner of the cash/securities account.